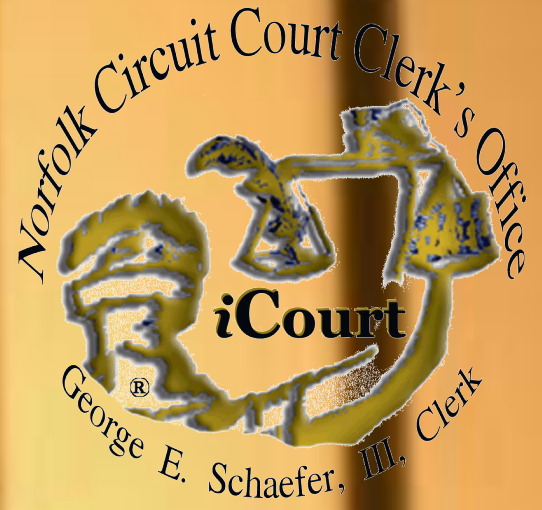


Norfolk Circuit Court – Criminal Plan



CIRCUIT COURT OF NORFOLK
Criminal Case Procedures
(Revised effective September 15, 2008)

PREAMBLE

It has become apparent that this Court's present procedures for the trial and disposition of criminal cases are inefficient and do not promote the orderly administration of justice. The problems with the present system include, but are not limited to, the following:

1. The 9:00 a.m. sentencing docket is frequently not concluded until 10:30 a.m., or later. This detains attorneys who may have pleas or trials in other courtrooms.
2. The number of guilty pleas is so great that bench trials frequently do not begin until early in the afternoon.
3. Counsel all too frequently procrastinate in the negotiation of guilty pleas and are not ready when the case is called.
4. Counsel frequently appear late because they have scheduled hearings in other courts.
5. Counsel too frequently request and the Court too frequently grants continuances.

These problems may cause little inconvenience to counsel or the judges, but they cause great inconvenience to witnesses, jurors, and other members of the public who attend the trial of criminal cases in this Court. These amended procedures, which are effective September 15, 2008, are intended to make the administration of criminal cases in this court more orderly, timely, and consistent. Failure to comply with the procedures set out herein may result in appropriate sanctions including removal from a case or the court-appointed list.

I. APPOINTMENT OF COUNSEL AND REPRESENTATION

- A. *Early Appointment and Court Notification.*** The intent of the following procedures is to provide early retention or appointment of qualified counsel to facilitate early communication in each case, and to ensure the timely scheduling of trial dates. The Court will encourage and facilitate the retention of private counsel, but not in a way which will foster unreasonable delay or interfere with the time limits prescribed herein.

Individuals appearing in Circuit Court have the opportunity to retain their own counsel, to have the Court appoint an attorney to represent them if they are indigent, or, with the Court's permission, to waive counsel and represent themselves *pro se*. The Circuit Court and Criminal Docket Clerk should be made aware of an individual's method of representation as early as possible.

- B. *Privately-Retained Counsel.*** The Court will require that privately retained attorneys notify the Criminal Docket Clerk, in writing, of their appearance in the case at least three working days before Grand Jury Day. Defendants for whom a notice of representation has not been filed will be required to appear in person at the Criminal Docket Call on Grand Jury Day.

In addition, where private counsel is not yet formally retained, but has a reasonable expectation that he/she will be retained for Circuit Court representation, attorneys are requested to so notify the Criminal Docket Clerk, in writing, at least three working days before Grand Jury Day. The Court understands that such a written statement does not constitute a commitment or a formal notice of representation, but merely a good faith effort to assist the Court by providing advance notice of intentions for representation.

Defendants who are granted additional time to retain counsel will be required to appear before a judge the next Grand Jury Day at 9:30 a.m. to inform the Court if counsel has been retained, unless a signed notice of representation has been filed before then. If counsel has not then been retained the Court will appoint counsel.

- C. ***Court-Appointed Counsel.*** An indigent person indicted for a felony or appealing a Class 1 or 2 misdemeanor conviction from a District Court, who did not have appointed counsel in the District Court, and who is requesting a court-appointed attorney for Circuit Court representation, will be required to appear at Criminal Docket Call for qualification and attorney appointment. An attorney's appointment in General District Court will carry over to the Circuit Court. An attorney's appointment in the Juvenile and Domestic Relations District Court will carry over to the Circuit Court if the attorney is on the Circuit Court's list of appointed attorneys. These attorneys are required to appear at Criminal Docket Call only if a trial date has not been selected and scheduling order submitted. If a scheduling order has not been submitted and the defense attorney does not appear at Criminal Docket Call, the case will be set on the next Grand Jury date as "to be set".
- D. ***Other Counsel Appointed in Juvenile and Domestic Relations District Court.*** If counsel appointed in that Court is not on the Circuit Court's list of appointed attorneys, the appointment will not carry over to the trial in Circuit Court unless three working days before Grand Jury Day the attorney requests and receives the approval of the Circuit Court judge supervising the court-appointed list and notifies the Criminal Docket Clerk by mail or telephone.
- E. ***Withdrawal of Counsel.*** Any attorney withdrawing from a case should notify the Court, in writing, as expeditiously as possible, with a clear statement of the reason, to ensure that new counsel is quickly appointed or retained. Counsel of record shall not withdraw from a case except by leave of the Court, pursuant to Rule 1:5 of the Rules of the Supreme Court of Virginia, and withdrawal will only be permitted for good cause. Failure of a defendant to pay fully a retained attorney, who has filed a notice of representation, is not considered good cause.
- F. ***Substitution of Counsel.*** Except by leave of court any attorney who wishes to be substituted as counsel in a pending case with a scheduled trial date must be available to represent the defendant on the scheduled trial date or on a date acceptable to the Court.

II. PRE-TRIAL CONFERENCE

- A. **Purpose.** If at the time of the preliminary hearing the Commonwealth's Attorney has agreed to reduce a felony to a misdemeanor, a plea of guilty should be entered in the District Court. If felony charges are certified to the Circuit Court, counsel will adjourn to an adjacent conference room or area after the preliminary hearing for a brief conference. During the conference counsel will discuss terms of a possible plea agreement, discovery, motions, trial date, and jury trial/waiver. A scheduling order complying with paragraph C will be prepared.

The Court sees no reason for a charge to be submitted to the Grand Jury when a guilty plea has been negotiated well before Grand Jury Day. In such cases the Court urges counsel to consider waiving indictment by the Grand Jury. A guilty plea on a waiver of indictment may be set as early as the sixth day after the preliminary hearing.

- B. **Grand Jury Cut-off Date.** The cut-off date for cases to be bound over to the next Grand Jury is the Wednesday in the week preceding Grand Jury Day.
- C. **Scheduling Order.** The scheduling order will be prepared and signed by the defendant's attorney, the Commonwealth's Attorney, and, if practical, the defendant, indicating the agreed upon trial, discovery, and motion dates. The trial date will be within 7 days of the next Grand Jury Day if a plea agreement is reached in District Court and within 60 days of the preliminary hearing, if possible, if no such agreement is reached; provided, that the trial date shall not be more than 120 days after the date of the defendant's arrest, if possible. If a signed scheduling order complying with this paragraph is not submitted or cannot be submitted to the Criminal Docket Clerk before Grand Jury Day (because, for example, the case has been in a District Court more than 120 days), the attorneys will be required to appear in person at Criminal Docket Call to set a trial date and have a scheduling order entered.

The order will also indicate the defendant's current intention to plead guilty or not guilty and to request a jury/bench trial. Hearings on unresolved motions will be held not later than three working days before the trial date. The order will be attached to the warrant for transfer to the Circuit Court Clerk once the case is bound over. Upon Grand Jury indictment and signature by a Circuit Court judge, this document will become an order of the Norfolk Circuit Court. If trial by jury is demanded in the scheduling order counsel will set an arraignment for the defendant within seven days of the Grand Jury Day at which the indictment was returned.

III. DOCKET CALL

- A. **Purpose.** Criminal Docket Call will be conducted on Grand Jury Day, the first and third Wednesday of each month (or, if any such Wednesday is a holiday, the following Thursday). Based on the procedures described in the previous sections, most cases should have trial dates assigned before Criminal Docket Call. At the Criminal Docket Call attorneys will be appointed for those individuals who lack counsel and trial dates will be assigned to those cases which lack trial dates. In addition, Criminal Docket Call may be used to hear discovery motions and guilty pleas.

B. Procedure. All defendants who are not represented by counsel, and those for whom a notice of representation has not been submitted to the Criminal Docket Clerk, must appear at the Criminal Docket Call. All attorneys who have not agreed to a trial date, and who have not submitted a scheduling order are also required to appear at Criminal Docket Call. Attorneys on the court-appointed list who wish to be considered for appointment will be present at the Criminal Docket Call, and will sign in with the Clerk. The Criminal Docket Call begins at 9:30 a.m. and proceeds as follows.

- The Clerk calls those who are without counsel and qualifies indigent persons.
- Court-appointed attorneys are assigned; attorneys meet with the Commonwealth Attorneys to select trial dates; a scheduling order is entered.
- Defendants wishing to retain private counsel present their circumstances to the presiding judge who determines whether to grant extension; if an extension is granted, the defendant is told to return to Court on the next Grand Jury Day at 9:30 a.m. to report on counsel status.
- The clerk calls those cases without trial dates.
- If counsel cannot agree on a trial date the judge assigns a trial date.
- The Court enters orders confirming trial dates, bench/jury trial request, anticipated trial lengths, and scheduling of motions.
- At the conclusion of these activities, the judge may be available to hear discovery motions and guilty pleas

If the defendant is not indicted by the Grand Jury, the trial date would be removed from the docket as there would not be a charge before the Circuit Court.

IV. DOCKET PROCEDURES

A. General Scheduling. Trials by jury and by the Court without a jury, pleas of guilty, and motions will be heard Monday through Thursday only; provided, that a plea of guilty may be heard on a Friday if the defendant has a probation violation or sentencing on another charge set on that Friday. Sentencings, Detention and Diversion Center reviews, and returns on findings under advisement will be heard mostly on Friday; provided, that if Court is not in session on a Friday because of a legal holiday or regional Judges' Conference such matters will be heard on the preceding Thursday; provided, further, that in such circumstances the judge presiding over Drug Court will not have a 2:00 p.m. docket. Bond motions may be heard on Monday through Thursday. Drug Court will be held on Thursday at 2:00 p.m. Mental Health Court will be held on Tuesday at 2:00 p.m. No juries shall be commenced on the first Grand Jury Day of each month, and no more than four criminal juries will be set on any given day except with the consent of the Chief Judge.

There will no longer be designated criminal days for individual judges on Monday through Thursday. Each judge will have a full Friday criminal docket every other Friday. Thus, generally, four judges will hear criminal matters one Friday and five will do so the next Friday. A

judge hearing civil motions on a Friday may also schedule criminal matters at 2:00 p.m.

The criminal docket will begin at 9:30 a.m. Monday through Thursday for guilty pleas, motions, probation violations, and bench and jury trials.

There will be three times available on a Friday criminal docket: 9:00 a.m., 11:00 a.m., and 2:00 p.m. There shall be set five (5) sentencings and five (5) probation violations on each sentencing judge's 9:00 a.m. and 11:00 a.m. dockets. Sentencings and probation violations may be set on a judge's 2:00 p.m. docket with the consent of the judge.

Commonwealth misdemeanor appeals may only be set on Mondays. City misdemeanor appeals may only be set on Tuesdays.

- B. *Setting the Docket.*** When a day's docket is set the preceding afternoon, the criminal deputy clerk will inform the docket judge of the number of jury trials, non-jury trials, guilty pleas, lengthy motions, such as motions to suppress, and whether misdemeanor appeals are to be heard the next day. With respect to pleas of not guilty, the Commonwealth's Attorney's Office will tell the criminal deputy clerk which ones are likely to go to trial and the estimated length of each trial. A case will be set on the docket as a plea of not guilty unless, before the docket is set, all material terms of a plea agreement have been agreed to and the defendant has assented to the proposed plea agreement orally or in writing. The docket judge shall determine the number of judges necessary for the efficient hearing of the next day's criminal matters, and he shall select which judges will hear them. The criminal deputy clerk will seek to balance the non-jury felony cases among the judges assigned to hear them taking into consideration, among other things, the estimated length of time of the cases. In assigning civil or criminal jury trials the docket judge shall not assign such a case to a judge with a Friday criminal docket unless the case can be concluded by Thursday.
- C. *Transfer of Cases.*** It is the policy of the Court that at the conclusion of a civil or a criminal docket, all judges shall assist one another in hearing cases not yet adjudicated. The in-court criminal deputy clerk, or the judge, when a civil docket is concluded, shall check all courtrooms and determine the status of the remaining criminal cases. Upon determining that an in-court criminal clerk and court reporter, if necessary, are available, the judge shall effect, through the clerks, the transfer of such cases as are yet to be heard.
- D. *Sentencings after a Request for a Pre-Sentence Report.*** If a pre-sentence report is requested, the judge presiding over the trial or accepting the plea of guilty shall ordinarily impose sentence. Another judge may impose sentence unless the defendant or the Commonwealth's Attorney objects.
- E. *Probation Violations.*** Probation violations will be set for hearing before any judge on the earliest Friday available to counsel and the Court. The probation violation hearings will be assigned to specific judges no later than the preceding Wednesday, and the clerk's office will put the violation summaries in the judges' mailboxes. The Clerk's office may set no more than five (5) probation violations on the open docket on Monday through Thursday and reports for the

violations shall be distributed to the appropriate judge with the criminal docket.

- F. ***Capital Murder and other assigned Cases.*** The clerk's office will continue to assign capital murder cases to judges on a rotating basis. Other cases may be assigned by order of any judge. Cases in which the Court has heard motions to suppress, to continue, or to withdraw as counsel will no longer be assigned, but, rulings on motions and findings of fact made by any judge will be binding at trial.

V. TRIAL PROCEDURES

- A. ***Continuances.*** It is the formal policy of the Court to grant continuances only for good cause. An attorney has established good cause when the underlying eventuality is unforeseen, is not due to the lack of preparation, is relevant, is brought to the Court's attention in a timely manner, and does not prejudice the adversary. A list of reasons for which a continuance will or will not ordinarily be granted is in the Court's Criminal Continuance Policy, which is attached. Continuances of unassigned trials should be requested in writing or by telephone conference with a criminal continuance judge; continuances of probation violations should be so requested with the duty judge; continuances of sentencings shall be granted only by the sentencing judge unless he will be absent until the date of sentencing in which case they may be granted by the duty judge. Continuances should be requested as soon as the circumstances justifying the continuance become known to counsel. Motions for continuance on the morning of trial are not viewed favorably.
- B. ***Plea Agreements.*** The propriety and value of properly handled plea agreements are recognized; however, there can be no prior commitment by the Court to approve a plea agreement, and counsel should always be prepared to explain his rationale, especially if the recommended sentence is outside the sentencing guidelines. The written plea agreement, and, if used, the written stipulation of Commonwealth's evidence and the advice of rights form, must be thoroughly reviewed by defense counsel with the defendant and signed before the case is called.

If the Court rejects the plea agreement, the defendant will be allowed to withdraw his plea of guilty. A continuance will be ordered to provide sufficient time for summoning witnesses and assignment of the case to a different judge for trial. The Chief Judge will assign the case to a judge on a rotating basis. Any plea agreement offered to the Court after the rejection of an earlier plea agreement shall state that a previous plea agreement has been submitted.

- C. ***Pre-Sentence Reports.*** The report shall be made a part of the record as required by statute, but not as evidence in the case, and after the sentencing hearing it shall be sealed and not disclosed except by order of the Court.
- D. ***Punctuality.*** Counsel appearing in cases listed on the docket as pleas of not guilty are expected to arrive punctually in the appropriate courtroom at the beginning of that docket. Counsel appearing in cases listed on the docket as guilty pleas or for sentencings or probation violations are expected to arrive punctually unless they have notified the judge or the clerk's office and

opposing counsel, if possible, of the reason for the delay and the likely time of arrival. If plea negotiations and the preparation of necessary papers are not completed before the day of trial, counsel are expected to arrive before the beginning of the docket to complete such work. A pattern of unexcused tardiness or lack of preparation is a basis for removal from the court-appointed list or other sanction.

E. Release of Witnesses under Subpoena. Counsel may release witnesses under subpoena only when counsel have agreed on all material terms of a plea agreement and the defendant has assented to the proposed plea agreement in writing; or if the defendant has assented to the proposed plea agreement orally *and* the case has been advanced on the docket.

F. Motions to Suppress. The trial date set by the scheduling order is *not* to be used as the date for a motion to suppress. Except for good cause shown and in the interest of justice, a motion to suppress shall be heard at least three working days before the trial date. The Court will strictly enforce the time limits of Code of Virginia § 19.2-266.2. Furthermore, the evidence sought to be suppressed and the grounds for suppression shall be *specifically* stated.

VI. POST-SENTENCING MATTERS

A. Judge to Whom Directed. Any post-sentencing matter, included, but not limited to, an amendment to a sentencing order, a motion to reconsider or to suspend the unserved portion of a sentence, a request for a probation violation capias, a petition for a writ of habeas corpus or other post-conviction relief, a review of a juvenile serious offender commitment or finding of not guilty by reason of insanity, or a pardon request, shall be directed to the sentencing judge. If the sentencing judge is no longer in active service any such post-sentencing matter shall be directed to his successor. The Clerk's Office will maintain a list of the judges' successors. If the original sentencing judge has no successor when the matter is raised it shall go to the duty judge.

CIRCUIT COURT OF NORFOLK - CRIMINAL CONTINUANCE POLICY

A continuance of a criminal case or sentencing will only be for good cause shown and with the approval of the Court. If the matter is assigned the request must be made to the judge to whom the case is assigned. If the case has not been assigned the request must be made to the duty judge. Refer to paragraph 4E above for the continuance policy regarding probation violations.

If a continuance is granted, counsel must submit by the end of the next business day, to the judge who granted the continuance, an order stating the specific reason for the continuance and the new trial date (approved by the Clerk's office) which shall, if possible, be within 120 days of the defendant's arrest.

Good cause for a continuance does not ordinarily include the following:

1. Counsel for both parties agree to the continuance.
2. The case has never been continued before.
3. The case has been continued once, but on the motion of the other party.
4. Financial arrangements have not been satisfied.
5. The defendant has charges pending in another Court and desires to dispose of those charges first, or the defendant has other charges pending in this Court and wishes to consolidate them.
6. Counsel for the defendant and the Commonwealth need additional time to discuss a possible plea agreement.
7. The defendant wants to hire a new attorney after previously declaring indigency and having received court-appointed counsel who is ready and prepared for trial.
8. A witness who was not subpoenaed by movant is not present.
9. Further time is needed for investigation unless, before the scheduled trial date, counsel has established to the Court's satisfaction that due diligence has been exercised and that counsel would otherwise not be prepared and ready for trial.
10. A material witness has been subpoenaed but the subpoena return indicates "not found" and counsel has no new information or leads in locating the witness.
11. The defendant is assisting law enforcement officers in the investigation of routine matters or is a witness for the Commonwealth or the United States in another case that has not yet been tried.

Although a continuance should not be granted if the reason for the request is within the control of counsel or reasonably foreseeable, the defendant's constitutional right to a fair trial will many times require that a continuance be granted to bring a witness material to the defense before the Court. Furthermore, by statute, continuances ought to be granted if necessary. See *Virginia Code* §19.2-162. Consequently, the failure of counsel to proceed in an orderly and expeditious fashion in preparation for trial is a matter of great concern to the Court. Failure of counsel to proceed as expected will not go unnoticed and may result in sanctions and/or removal (in the case of court-appointed defense counsel) from the court-appointed attorney list.

The Court is likely to be most unreceptive to a continuance request made on the day of trial as witnesses may be inconvenienced. The unnecessary delay of cases will not be permitted.

Good cause may include the following:

1. The death, serious illness, or personal or family emergency of a party, material witness, or counsel.
2. The unavoidable absence of a material witness.
3. Counsel is not prepared for trial because of the inability of defendant and counsel to meet. However:
 - (A) The defendant's bond status may be affected if the Court determines the defendant has been dilatory.
 - (B) Removal from the list of court-appointed attorneys may be ordered if the Court determines counsel has been dilatory.
 - (C) Other sanctions may be used by the Court in addressing counsel's failure to be prepared.
4. The late submission of discoverable material by the Commonwealth which necessitates further investigation or summoning of additional witnesses by the defense. However, where tardy submission of discoverable material necessitates a continuance, sanctions may be in order, including, but not limited to, charging the continuance to the Commonwealth for purpose of speedy trial calculations.
5. The defendant was not transported to Court from a jail located outside the city's limits.
6. A previously ordered mental evaluation has not been completed.
7. The defendant fails to appear for trial.

Norfolk Circuit Court Judges and their Predecessors

Judge Jones

Judge James
Judge Ryan (took office in 1968)

Judge Fulton

Judge Edmonds
Judge Whitehurst (took office in 1970)

Judge Martin

Judge Stewart
Judge Page (took office in 1958)

Judge Hall

Judge Morrison
Judge Guerry (took office in 1967)

Judge Thomas

Judge Jacobson
Judge McNamara
Judge Johnston (took office in 1959)

Judge Doyle

Judge Tripp
Judge Leafe
Judge Clarkson
Judge Harper (took office in 1969)

Judge Sherman

Judge Griffith
Judge Rutherford
Judge Winston
Judge Tabb (took office in 1960)

Judge Poston

Judge Sachs
Judge Baker
Judge Gill (took office in 1969)

Judge Burrell

Judge Taylor
Judge Waters
Judge Gutterman (took office in 1968)